



FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, DC 20463

September 19, 2001

John M. Templeton, Jr., M.D.
601 Pembroke Road
Bryn Mawr, PA 19010

Dear Dr. Templeton:

Enclosed is the signed copy of the agreement between you and the Federal Election Commission (FEC). This agreement, which was approved by the Federal Election Commission on September 5, 2001 -- the effective date of the agreement -- resolves the matter brought to the attention of the FEC on a *sui sponte* basis.

The settlement agreement will be made part of the record that is released to the public, however, the correspondence between our two offices prior to the conclusion of the settlement agreement is considered privileged and will not be made part of the public record. The Commission is obliged by Federal statute to make public all settlement agreements. Accordingly, the file on this matter, including the above mentioned document, will be forwarded next month to the FEC's Public Information Office.

This agreement effectively resolves the issues that were raised with the Commission that were the subject of this case.

I appreciate your assistance in resolving this matter and your help in bringing this matter to a mutually satisfactory conclusion.

Sincerely,



Allan D. Silberman,
Director, ADR Office

Enclosure

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FEDERAL ELECTION COMMISSION
Washington, DC 20463

Case Number: ADR 014
Source: PMUR 397
Case Name: Dr. Templeton, Jr.

NEGOTIATED SETTLEMENT

This matter was brought to the attention of the Federal Election Commission ("Commission") by the contributor on a *sua sponte* submission. Following a review of the record, and in an effort to promote compliance with the Federal Election Campaign Act of 1971, as amended ("the FECA") and to resolve this matter, the Commission entered into negotiations with Dr. John M. Templeton, Jr. ("the Contributor"), on his own behalf. It is understood that this agreement will have no precedential value relative to any other matters coming before the Commission.

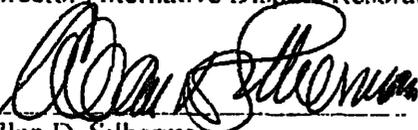
Negotiations between the Commission and the Contributor have addressed the issues raised in this matter. The parties have agreed to resolve the matter according to the following terms:

1. The Commission has entered into this agreement as part of its responsibility for administering the Federal Election Campaign Act and in an effort to promote compliance of the FECA on the part of the Contributor. The Commission's use of ADR procedures is authorized in "The Administrative Dispute Resolution Act of 1996," 5 U.S.C. § 572 and is an extension of 2 U.S.C. § 437g.
2. The Contributor has voluntarily entered into this agreement with the Commission.
3. In November, 2000, Dr. John M. Templeton, Jr., brought to the Commission's attention the record of his contributions made during the 1999 and 2000 election year cycle, acknowledging excess contributions made during that time. Dr. Templeton's initial analysis, based on information provided from a source other than the Commission, reported contributions of \$21,450. A subsequent review of documents provided by the Commission, reported contributions of \$27,800 during the '99 and '00 election year cycle. On learning that the amount exceeded the statutory \$25,000 aggregate annual contribution limit to federal election campaigns in violation of 2 U.S.C. § 441a(a)(3), Dr. Templeton, obtained refunds from five recipient organizations that included campaign, political and state committees.
4. Subsequent review by the Commission of the contributions attributed to Dr. John M. Templeton, Jr., analysis of the Commission's records of those contributions and a review of canceled checks also revealed that a portion of those funds, i.e., \$10,000, were incorrectly recorded by the National Republican Senatorial Committee (NRSC). The NRSC listed the subject contributions as contributions to their "federal funds account" rather than as contributions to the NRSC's "non-federal account" as noted on the Contributor's two checks. At Dr. Templeton's request, the NRSC transferred the aforementioned contribution from their Federal to their non-federal account.

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5. The aforementioned adjustments corrected the aggregate amount attributed to Dr. John M. Templeton, Jr. in the 2000 election cycle. Those corrections brought the Contributor's aggregate contributions during the 2000 election cycle to below the \$25,000 limit and thus enabled the Commission to conclude this matter. Dr. Templeton acknowledges that no other contributions were made by him that would count toward the \$25,000 aggregate limit for federal election campaigns in the 2000 election year cycle.
 6. Dr. John M. Templeton, Jr. acknowledges that no individual shall make contributions aggregating more than \$25,000 in any calendar year. 2 U.S.C. § 441a(a)(3). He also acknowledges that contributions made to a candidate in a year other than the one in which the federal election is held for which the individual is a candidate are considered to be made during the year in which the election is held. 2 U.S.C. § 441a(a)(3).
 7. This agreement will become effective on the date signed by all the parties and approved by the Commission.
 8. This Negotiated Settlement constitutes the entire agreement between the parties on ADR 014/PMUR 397 and effectively concludes this matter. No other statement, promise or agreement, either written or oral, made by either party, not included in herein, shall be enforceable.

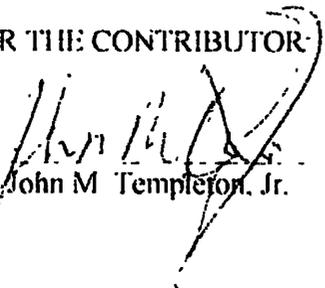
FOR THE COMMISSION.

Allan D. Silberman,
Director, Alternative Dispute Resolution Office


Allan D. Silberman

Sept. 19, 2001
Date

FOR THE CONTRIBUTOR:


Dr. John M. Templeton, Jr.

Aug 19, 2001
Date